

RECORDATION FORM COVER SHEET PATENTS ONLY

COPY

To the Commissioner, United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)
Brock Rogers Surgical, Inc.



2. Name and address of receiving party(ies)

Name: endoVia Medical Inc.

Internal Address: _____

Street Address: 150 Kerry Place

City: Norwood State: MA ZIP: 02062

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other _____

Execution Date: June 21, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
Please see attached list.

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James M. Smith, Esq.

Internal Address: _____

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: 530 Virginia Road

P.O. Box 9133

City: Concord State: MA ZIP: 01742-9133

6. Total number of applications and patents involved: [23]

7. Total Fee (37 C.F.R. 3.41)..... \$ 920.00

- ☒ Enclosed
☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James M. Smith

Name of Person Signing

[Signature]
Signature

9/3/2
Date

Total number of pages including cover sheet, attachments, and document: [6]

Recordation Form Cover Sheet – Page 2

4A. Patent Application numbers: (con't)

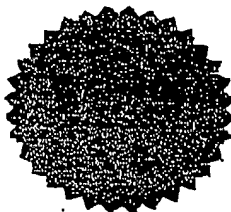
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10/022,038
10/012,586
60/332,287
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10/077,233
10/097,923

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ENDOVIA MEDICAL INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIRST DAY OF JUNE, A.D. 2002.



2613174 8300

020400276

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 1844168

DATE: 06-21-02

AUG 15 2002 15:09 FR PALMER & DODGE

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P.03

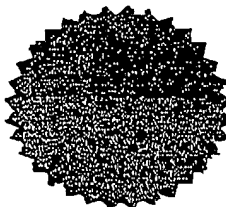
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BROCK ROGERS SURGICAL, INC.", CHANGING ITS NAME FROM "BROCK ROGERS SURGICAL, INC." TO "ENDOVIA MEDICAL INC.", FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF JUNE, A.D. 2002, AT 8:45 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

2613174 8100

AUTHENTICATION: 1844186

020400276

DATE: 06-21-02

AUG 15 2002 15:09 FR PALMER & DODGE

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**CERTIFICATE OF AMENDMENT OF
AMENDED AND RESTATED CERTIFICATE OF INCORPORATION
OF
BROCK ROGERS SURGICAL, INC.**

Pursuant to Section 242
of the General Corporation Law of
the State of Delaware

Brock Rogers Surgical, Inc., a corporation organized and existing under the laws of the State of Delaware (the "Corporation"), does hereby certify as follows:

By vote of the Board of Directors of the Corporation taken on June 9, 2002, a resolution was duly adopted, pursuant to Sections 141 and 242 of the General Corporation Law of the State of Delaware (the "GCL"), setting forth an amendment to the Amended and Restated Certificate of Incorporation of the Corporation. The stockholders of the Corporation entitled to vote on the proposed amendment have duly approved said amendment by written consent in accordance with Sections 228 and 242 of the GCL. The resolution setting forth the amendment is as follows:

RESOLVED:

That ARTICLE FIRST of the Amended and Restated Certificate of Incorporation of this Corporation be and it is hereby amended to change the name of the Corporation from Brock Rogers Surgical, Inc. to endoVia Medical Inc. so that said ARTICLE FIRST shall be and read as follows:

"FIRST: The name of the Corporation is endoVia Medical Inc."

IN WITNESS WHEREOF, this Certificate of Amendment has been executed by the undersigned, a duly authorized officer of the Corporation, as of the 21st day of June, 2002.

BROCK ROGERS SURGICAL, INC.

By: /s/ Robert W. Cunningham
Robert W. Cunningham
President